# 00 293771

#### TRADEMARK ASSIGNMENT

## Electronic Version v1.1 Stylesheet Version v1.1

SUBMISSION TYPE:	NEW ASSIGNMENT	
NATURE OF CONVEYANCE:	CHANGE OF NAME	

#### **CONVEYING PARTY DATA**

Name	Formerly	Execution Date	Entity Type
BNY Jaywalk LLC		109/24/2009	LIMITED LIABILITY COMPANY: DELAWARE

#### **RECEIVING PARTY DATA**

Name:	ConvergEx Research Solutions LLC	
Street Address:	1633 Broadway	
Internal Address:	48th Floor	
City:	New York	
State/Country:	NEW YORK	
Postal Code:	10019	
Entity Type:	LIMITED LIABILITY COMPANY: DELAWARE	

#### PROPERTY NUMBERS Total: 4

Property Type	Number	Word Mark
Registration Number:	2937715	INDEPENDENT RESEARCH MERITOCRACY
Registration Number:	2733890	JAYWALK INCORPORATED
Registration Number:	2567236	
Registration Number:	2548264	JAYWALK

#### **CORRESPONDENCE DATA**

Fax Number: (202)408-3141

Correspondence will be sent via US Mail when the fax attempt is unsuccessful.

Phone: 800-927-9801 x2348 Email: jpaterso@cscinfo.com

Correspondent Name: Corporation Service Company

Address Line 1: 1090 Vermont Avenue NW, Suite 430

Address Line 2: Attn: Jean Paterson

Address Line 4: Washington, DISTRICT OF COLUMBIA 20005

ATTORNEY DOCKET NUMBER: 1412800-005 TRADEMARK

900144269 REEL: 004070 FRAME: 0837

NAME OF SUBMITTER:	Jean Paterson
Signature:	/jep/
Date:	09/30/2009
Total Attachments: 4 source=9-30-09 BNY Jaywalk-TM#page1.tif source=9-30-09 BNY Jaywalk-TM#page2.tif source=9-30-09 BNY Jaywalk-TM#page3.tif source=9-30-09 BNY Jaywalk-TM#page4.tif	

# Delaware

PAGE :

# The First State

I, JEFFREY W. BULLOCK, SECRETARY OF STATE OF THE STATE OF

DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT

COPY OF THE CERTIFICATE OF AMENDMENT OF "BNY JAYWALK LLC",

CHANGING ITS NAME FROM "BNY JAYWALK LLC" TO "CONVERGEX RESEARCH

SOLUTIONS LLC", FILED IN THIS OFFICE ON THE TWENTY-FOURTH DAY OF

SEPTEMBER, A.D. 2009, AT 1:31 O'CLOCK P.M.

4226306 8100

090882119

You may verify this certificate online at corp.delaware.gov/authver.shtml

AUTHENTICATION: 7550913

DATE: 09-28-09

State of Delaware Secretary of State Division of Corporations Delivered 01:34 PM 09/24/2009 FILED 01:31 PM 09/24/2009 SRV 090882119 - 4226306 FILE

### CERTIFICATE OF AMENDMENT TO THE CERTIFICATE OF FORMATION OF BNY JAYWALK LLC

- 1. The name of the limited liability company is BNY Jaywalk LLC.
- Paragraph 1 of the Certificate of Formation of BNY Jaywalk LLC is hereby amended to read
  as follows:
  - 1. The name of the limited liability company is ConvergEx Research Solutions LLC.

IN WITNESS WHEREOF, the undersigned, an authorized person, has executed this Certificate of Amendment as of the 24th day of September, 2009.

Heather A. Sisler, Authorized Person

# SCHEDULE I

#### $Trademark\ Registrations:$

**RECORDED: 09/30/2009** 

OWNER	REGISTRATION NUMBER	NAME
BNY Jaywalk LLC	2,937,715	INDEPENDENT RESEARCH MERITOCRACY
BNY Jaywalk LLC	2,733,890	JAYWALK INCORPORATED & Design
BNY Jaywalk LLC	2,567,236	Design Only (Bird in Flight)
BNY Jaywalk LLC	2,548,264	JAYWALK